



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/693,217	10/24/2003	John Briar	27-035.D1	2274

22898 7590 05/23/2005

THE LAW OFFICES OF MIKIO ISHIMARU  
1110 SUNNYVALE-SARATOGA ROAD  
SUITE A1  
SUNNYVALE, CA 94087

EXAMINER

OWENS, DOUGLAS W

ART UNIT PAPER NUMBER

2811

DATE MAILED: 05/23/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/693,217

Applicant(s)

BRIAR, JOHN

Examiner

Douglas W. Owens

Art Unit

2811

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 14 March 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 12-21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 12, 13, 15, 16, 20 and 21 is/are rejected.
- 7) ☒ Claim(s) 14, 17-18 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Drawings***

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the four planar spacers that separate the heatsink from the substrate by a measurable amount, as required in claim 16 must be shown or the feature canceled from the claim.

No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

***Claim Objections***

2. Claim 14 is objected to because of the following informalities: in line 9 of the claim, "andsaid" should be replaced with --and said--. Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claim 21 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. The claim requires that a structure with geometric dimensions that are equal to the dimensions of the substrate be used in place of the heatsink. The specification does not disclose what structure is contemplated or the best-suited material for the structure. One having ordinary skill in the art would be required to exercise undue experimentation to find the structure and material contemplated for use in making the device.

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly, claiming the subject matter which the applicant regards as his invention.

6. Claim 21 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claim requires that the heatsink be replaced by an unknown structure. The scope of the claim is nebulous since the structure that can be used in place of the heatsink is unknown.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 12, 13, 15, 16 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 6,720,650 to Miyazaki in view of admitted prior art.

Regarding claim 12, Miyazaki teaches an IC package structure (Fig. 2E, for example), comprising:

an IC device (2) having a top and bottom surface, with electrical contact points (connected at solder ball (3)) mounted in the bottom surface of the IC;

a heatsink (7a; Col. 5, lines 16 – 29) for the IC having a flat bottom surface extending past the IC device by a first distance, the flat bottom surface contacting the top surface of the IC device;

a substrate (1) having a flat upper surface and a lower surface, the flat upper surface and lower surface extending past the IC device by the first distance;

a molding compound (4, 6) between the flat bottom surface of the heatsink and the flat upper surface of the substrate to fill only the first distance, said molding compound among the points of electrical contact to the IC device.

Miyazaki does not teach an IC package structure, wherein the lower surface has points of electrical contact. Admitted prior art teaches an IC package structure, wherein the lower surface has points of electrical contact. It would have been obvious to one having ordinary skill in the art to incorporate the teaching of admitted prior art into the device taught by Miyazaki, since it is desirable to connect the IC device and wiring substrate to external devices.

Regarding claim 13, Miyazaki teaches an IC package structure, wherein the IC device is a ball grid array.

Regarding claim 15, Miyasaki teaches an IC package, wherein the substrate comprises:

- an upper surface;

- electrical contact points in the upper surface, forming substrate contact points (connected to contact (5), and the solder ball (3)), provided to make electrical connection with contact points of the IC devices; and

- a network of interconnect lines that interconnects the upper and lower surface (inherent feature of a wiring substrate).

Miyazaki does not teach electrical contact points in the lower surface. Admitted prior art teaches electrical contact points in the lower surface. It would have been obvious to one of ordinary skill in the art to incorporate the teaching of admitted prior art into the device taught by Miyazaki for reasons discussed above.

Regarding claim 16, Miyazaki teaches an IC package device, wherein contact points of the IC device make electrical contact with the substrate upper surface contact

Art Unit: 2811

points, while the top surface of the IC device makes contact with the heatsink. Miyazaki does not teach four spacers that separate the substrate from the heatsink. Admitted prior art teaches spacers (14) that separate the substrate from the heatsink. It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the teaching of admitted prior art into the device taught by Miyazaki, since it is desirable to maintain the design spacing between the substrate and heat sink. It would have been further obvious to use four spacers since it is desirable to keep consistent spacing.

Regarding claim 20, Miyasaki does not teach that the molding material is cured by UV light. This is considered a product-by-process limitation. "Even though product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself. The patentability of a product does not depend on its method of production. If the product in the product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process." *In re Thorpe*, 777 F.2d 695, 698, 227 USPQ 964, 966 (Fed. Cir. 1985).

***Allowable Subject Matter***

9. Claims 17 – 19 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Response to Arguments***

10. Applicant's arguments filed March 14, 2005 have been fully considered but they are not persuasive.

Applicant argues that it would be obvious to one of ordinary skill in the art to incorporate the spacers disclosed in the admitted prior art into the device disclosed in the invention, which may be true. However, 37 CFR 1.83(a) requires that the drawings must show every feature of the invention specified in the claims. The drawings do not show an embodiment including at least "...a molding compound for insertion into said cavity between the flat bottom surface of the heatsink and the flat upper surface of the substrate to fill only the first distance...", as required in independent claim 1 and "...four planar spacers that separate said heatsink from said substrate by a measurable amount...", as required in dependent claim 16.

Applicant argues that Miyazaki does not teach a molding compound among the points of electrical contact to the IC device. This feature is shown in Fig. 2E where the molding compound (4,6) is among the points of electrical contact (connected at solder ball (3)).

***Conclusion***

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not



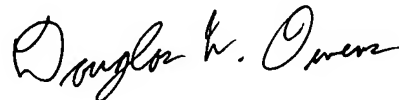
Art Unit: 2811

mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Douglas W. Owens whose telephone number is 571-272-1662. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie C. Lee can be reached on 571-272-1732. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Douglas W Owens  
Examiner  
Art Unit 2811

DWO